

APPLICATION FOR PRECIOUS METALS DEALER PERMIT
[G.S. §66-165]

Name of business: _____

Address of business: _____

Full name of applicant: _____ Date of birth: _____

Current address: _____

All addresses of applicant for previous five years: _____

Any names used by applicant, in the last five years, other than that given above:

Physical description: Race: _____ Color of eyes: _____
Height: _____ Color of hair: _____
Weight: _____

Distinguishing marks or characteristics: _____

Drivers license number/state of issue: _____

List all felony convictions in any state or federal court: _____

List all other criminal convictions in any state or federal court during the past five years, excluding minor traffic violations: _____

Form of business (check one):

_____ Individual _____ Partnership _____ Association or corporation

If partnership, association or corporation:

(a) List name and current address of all Officers, Directors of the corporation and all persons owning 10% or more of the stock in the corporation or interest in the partnership or association: [Note: all persons listed must complete the Co-Owner Supplemental Information Form.]

Names	Addresses
_____	_____
_____	_____
_____	_____
_____	_____

(b) List all other names and addresses used now or in the past five years by the partnership, association or corporation:

Names	Addresses
_____	_____
_____	_____
_____	_____
_____	_____

(c) _____ A supplementary information sheet is attached for each person listed in subsection (a) above.

List names of all current employees: _____

Photograph: _____ A recent photograph is attached (approved by law enforcement agency to which application is submitted), OR

_____ I request that the law enforcement agency photograph me for this application, and I agree to pay a fee of \$_____ for this service.

Fingerprints: _____ A full set of fingerprints is attached. (The applicant should contact the law enforcement agency issuing the permit about being fingerprinted.)

This application is for: _____ regular permit

_____ special occasion permit

I propose to meet the bond or trust requirements of G.S. 66-168 by:

_____ Posting a cash bond _____ Establishing a trust account
_____ Posting a surety bond

Signature of applicant: _____

Sworn to and subscribed before me this

the _____ day of _____, 20_____.

Notary Public

My commission expires: _____

-----FOR LAW ENFORCEMENT AGENCY USE ONLY-----

\$180.00 Annual fee received: _____ day of _____, 20____; Check No. _____
Amount: \$_____.00.

SBI Fingerprint check fee in the amount of \$_____.00; Check No. _____

A copy of the Security Bond was received on _____ day of _____, 20_____.

Date and time application filed: _____, 20____, _____ am/pm

APPLICATION APPROVED BY: _____

Name of Law Enforcement Agency: _____

**SUPPLEMENTARY INFORMATION SHEET
FOR CO-OWNER OF PRECIOUS METALS BUSINESS**

[G.S. § 66-165]

[Note: A copy of this form must be completed by each officer and director of a corporation and any person owning 10% or more of the stock and any person owning 10% or more of a partnership or association.]

Name of business: _____

Full name of co-owner: _____ Date of birth: _____

Current address: _____

All addresses of co-owner for previous five years: _____

Any names used by co-owner, in the last five years, other than that given above:

Physical description: Race: _____ Color of eyes: _____
 Height: _____ Color of hair: _____
 Weight: _____

Distinguishing marks or characteristics: _____

Driver's license number/state of issue: _____

List all felony convictions in any state or federal court: _____

List all other criminal convictions in any state or federal court during the past five years, excluding minor traffic violations: _____

Photograph: _____ A recent photograph is attached (approved by law enforcement agency to which application is submitted), OR

_____ I request that the law enforcement agency photograph me for this application, and I agree to pay a fee of \$ _____ for this service.

Fingerprints: _____ A full set of fingerprints is attached. (The co-owner should contact the law enforcement agency issuing the permit about being fingerprinted.)

Signature of co-owner: _____

Sworn to and subscribed before me this

the _____ day of _____, 20____.

Notary Public

My commission expires: _____

-----FOR LAW ENFORCEMENT AGENCY USE ONLY-----

SBI Finger Print check fee in the amount of \$_____.00. Check No. _____

Received by: _____

PRECIOUS METALS BUSINESS EMPLOYEE REGISTRATION FORM

I have been informed that G. S. § 66-165(b) requires every employee engaged in the Precious Metals Business to register his name and address with the appropriate local law enforcement agency within two days of being employed. I also have been informed that the law requires each employee to be photographed and to pay a fee of \$10.00 before being issued a Certificate of Compliance allowing him or her to work in a Precious Metals Business. I have also been informed that the subsequent annual renewal fee is \$3.00 for the same employee. I have further been informed that the certificate of compliance must be posted in the work area of the Precious Metals Permit holder:

I hereby submit this request for registration as follows:

Print Name of Employee: _____

Home Address of Employee: _____

Employer's Name: _____

Employer's Address: _____

Location of business (if different): _____

Permit Issued for Business on: ____/____/____.

Photograph: _____ A recent photograph is attached (approved by law enforcement agency to which application is submitted), OR

_____ I request that the law enforcement agency photograph me for this application, and I agree to pay a fee of \$____.____ for this service.

This the ____ day of _____, 20____.

Signature of Employee

-----**FOR LAW ENFORCEMENT AGENCY USE ONLY**-----

\$10.00 fee paid: ____/____/____
Photographing fee collected in the amount of \$ ____ .00 Check No. _____
Photographs taken: _____ Collected by Officer: _____
(date)

Subsequent years renewals approved by Law Enforcement Agency:

Renewal Fee of \$3.00 paid ____/____/____ (for renewal of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

Renewal Fee of \$3.00 paid ____/____/____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

Renewal Fee of \$3.00 paid ____/____/____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

Renewal Fee of \$3.00 paid ____/____/____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

Renewal Fee of \$3.00 paid ____/____/____ (for renewals of employee registration following year of initial registration (G.S. 66-165(b)))

Collected by Officer: _____

(repeat above renewal form for additional years of registration of this same business employee)

STATE OF NORTH CAROLINA

PRECIOUS METALS DEALER

COUNTY OF _____

BOND
[G.S. § 66-168]

We _____ of
_____ (address), as PRINCIPAL, and
_____ of _____
_____ (address), a corporation
incorporated under the laws of the State of _____

and duly licensed to transact a SURETY business in the State of North Carolina, as SURETY, are indebted and bound to the State of North Carolina in the sum of TEN THOUSAND DOLLARS (\$10,000.00) for which payment we bind ourselves and our legal representatives and successors jointly and severally.

The condition of this obligation is that the PRINCIPAL is desirous of conducting and will conduct a precious metal business as defined in North Carolina General Statute § 66-163, et seq., and it is required by the provisions of the aforesaid law, that the PRINCIPAL furnish a bond on the terms and conditions set forth therein.

If the PRINCIPAL and all his agents and employees shall faithfully and honestly perform all their obligations as required by the aforesaid statutes, then this obligation shall be null and void; otherwise, it shall be in full force and effect.

This SURETY bond is intended to comply with the requirements of Article 25 of Chapter 166 of the North Carolina General Statutes and in accordance with the provisions and requirements of that Act, it is expressly provided that:

1. This bond is executed by the said PRINCIPAL and SURETY to enable the PRINCIPAL to obtain a license under the provisions of this law;
2. Any person who sustains injury by reason of any act or omission covered by this bond may, in addition to any other remedy that he may have, bring an action in his own name on this bond for the recovery of damages sustained by him.

The total aggregate liability of the SURETY hereon shall be limited to the sum of TEN THOUSAND DOLLARS (\$10,000.00).

Upon termination or cancellation of this bond, notice thereof shall be immediately
CC&PS Precious Metals Form 7 (rev. 11/1/02)

given to the law enforcement agency issuing the permit as set forth in North Carolina General Statute § 66-168.

IN WITNESS WHEREOF, the above bounded parties have executed this Instrument under their several seals, this the _____ day of _____, 20 ____, the name and corporate seal of each corporate party being hereto affixed and these presents duly signed by its undersigned representative, pursuant to authority of its governing body.

PRINCIPAL

By: _____

Title: _____

SURETY

By: _____

Title: _____